# UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	116686.00271
irst Inventor or Application Identifier	Leonid HANIN et al
Title	HEAT EXCHANGE DEVICE

졆	PATENT APPLICATION	First Inventor or Application Ide		tifier [	eoni	id HANIN e	et al		<del></del>	
81 0	TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))			HEAT EXCHANGE DEVICE						
J.S. PTC	APPLICATION ELEMENT See MPEP chapter 600 concerning utility patent ap		ADDF	RESS	s то	P.O. 1	Box 1450	r for Patents ) A 22313-14		PTO
	Fee Transmittal Form (e.g. PTO/ (Submit an original and a duplicate for fee)			9.					TION PARTS eet & document(s))	
	2. Applicant claims small entity stat See 37 CFR 1.27	us.		10.		37 C.F.R.	§3.73(b) is an assig	Statement	☐ Power of Attor	m <b>9</b> 899
	3. ⊠ Specification Total	al Pages 24		11.					(if applicable)	_
	4. ☑ Drawing(s) (35 U.S.C. 113) Total Sheets 2			12.		Information Disclosure				
	5. Oath or Declaration Total	al Pages	l Pages			Preliminar	y Ameno	iment		
	<ul><li>a.  Newly executed (original or copy</li><li>b.  Copy from a prior application (37</li></ul>	C.F.R, §1.63(d))		14.	$\boxtimes$	White Adv	ance Se	rial No. Pos	stcard	
	(for continuation / divisiona i. DELETION OF INVENT Signed statement attached del	OR(S)		<b>15</b> . i		Certified C		riority Docu	ıment(s)	
	Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).  6. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)			16.		Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/'SB/'35 or its equivalent.				
	Program (Appendix)  7. Application Data Sheet. See 37 CFR 1.76  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification or Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper  c. Statements verifying identity of above copies			17.	Ø		ition for duplicate		oreign Filing Licens	se
						Che	eck for \$	533.00		
ſ	18. If a CONTINUING APPLICATION, che	eck appropriate box, an								
	Continuation Divisional Continuation-in									
İ	Prior application information: Exan							up Art Unit:		
s	For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b s considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.									
	19. Amend the specification by inserting be	efore the first line t	he sente	ence	:					
	☐ This application is a ☐ Continuation ☐ Division of application Serial No. ☐ Filed on			Continuation-in-part (CIP)						
	☐This application claims priority of provisional application Serial No. Filed									
	20. CORRESPONDENCE ADDRESS									
ļ <del>-</del>	BLANK ROME LLP 600 NEW HAMPSHIRE AVENUE, N.W. WASHINGTON, DC 20037 TEL (202) 944-3000 FAX (202) 572-8398			27557 PATENT TRADEMARK OFFICE						
- Г	Name: Michael C. Greenbaum					Registrat	ion No :	28 410		
			<u>//</u>			registrat				
	Signature:	////			$\leq$		Date:	February 2	6, 2004	

# **FEE TRANSMITTAL** for FY 2004

Patent fees are subject to annual revision.

Complete if Known					
Application Number	NEW APPLICATION				
Filing Date	Concurrently Herewith (February 26, 2004)				
First Named Inventor	Leonid HANIN et al				
Examiner Name	To Be Assigned				
Group / Art Unit	To Be Assigned				

TOTAL AMOUNT OF PAYMENT (\$)

533.00

Attorney Docket No. 116686.00271

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge any additional fees and credit any over payments to:	3. ADI	3. ADDITIONAL FEES Large Small		Small		
	1	Entity		Entity		_
Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account 23-2185	105	130	205	65	Surcharge - late filing fee or oath	
Number	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit Account BLANK ROME LLP	139	130	139	130	Non-English specification	$\vdash$
Account Name BLANK ROME LLP	147	2,520	147	2,520	For filing a request for reexamination	$\vdash$
☐ Charge Any Additional Fee Required	112	2,520 920*	112	2,520 920*	Requesting publication of SIR prior to	<b></b>
Under 37 CFR 1.16 and 1.17  Applicant claims small entity status.					Examiner action	
See 37 CFR 1.27	1113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2.   Payment Enclosed:	115	110	215	55	Extension for reply within first month	
☑ Check ☐ Credit card ☐ Money ☐ Other Order	116	420	216	210	Extension for reply within second month	
FEE CALCULATION	117	950	217	475	Extension for reply within third month	
1. BASIC FILING FEE	118	1,480	218	740	Extension for reply within fourth	
Large Entity Small Entity	128	2,010	228	1,005	Extension for reply within fifth month	
Fee Fee Fee Fee Description	119	330	219	165	Notice of Appeal	
Code (\$) Code (\$) Fee Paid	120	330	220	165	Filing a brief in support of an appeal	
101 770 201 385 Utility filing fee \$385.00	121	280	221	145	Request for oral hearing	
106 340 206 170 Design filing fee 107 530 207 265 Plant filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
108 770 208 385 Reissue filing fee	140	110	240	55	Petition to revive – unavoidable	
114 160 214 80 Provisional filling fee	141	1,330	241	665	Petition to revive – unintentional	
	142	1,330	242	665	Utility issue fee (or reissue)	
SUBTOTAL (1) (\$) 385.00	143	480	243	240	Design issue fee	
2. EXTRA CLAIM FEES	144	640	244	320	Plant issue fee	
Extra Fee from Fee	122	130	122	130	Petitions to the Commissioner	
Claims   below   Paid     Total Claims   22   -20**   =   2   X   \$9   =   \$18	123	50	123	50	Processing fee for provisional applications	
Independent Claims 3 -3** = 0 X \$43 = \$0	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent \$290	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Entity Small Entity Fee Fee Fee Fee Foo Doordelies	146	770	246	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$) Code (\$)	149	770	249	385	For each additional invention to be	
103 18 203 9 Claims in excess of 20 102 86 202 43 Independent claims in excess of 3					examined (37 CFR § 1.129(b))	<b></b>
102 66 202 43 Independent claims in excess of 3	179	770	279	385	Request for Continued Examination (RCE)	
** Poissus independent claims over	169	900	169	900	Request for expedited examination	$\vdash$
original patent	'**	•••		•••	of a design application	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent						
SUBTOTAL (2) (\$) 18.00 Other fee (specify) Petition for Expedited Foreign Filing License				spedited Foreign Filing License	\$130	
**or number previously paid, if greater; For Reissues, see above	*Redu	ced by Ba	sic Filin	g Fee Pa	aid SUBTOTAL (3) (\$) 130	.00

BLANK ROME LLP 600 NEW HAMPSHIRE AVENUE, N.W. WASHINGTON, DC 20037 FAX (202) 572-8398 TEL (202) 772-5800



27557 PATENT TRADEMARK OFFICE

SUBMITTED BY			С	omplete (if applicable)
Name (Print/Type)	Michael C. Greenbaum	Registration No. Attorley/Agent) 28,419	Telephone	(202) 944-3000
Signature		////	Date	February 26, 2004

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of	)	
	)	
Lenoid HANIN and	)	
Antonio CAMPO	)	
	)	
	)	Dkt.# 116686.00271
For: HEAT EXCHANGE DEVICE	j	

## PETITION FOR EXPEDITED FOREIGN FILING LICENSE

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450
Attention: Licensing and Review

Sir:

It is hereby requested that the U.S. Patent & Trademark Office issue, on an **EXPEDITED** basis, a license under the provisions of 35 U.S.C. §184, authorizing applications to be filed abroad on the subject matter corresponding to that which is presented in the above-identified application, a copy of which accompanies this Petition. It is not believed that the subject matter is in any way sensitive from the standpoint of national security.

Please notify **Michael C. Greenbaum** by telephone at the number shown below when the license is ready for pick-up.

A check in the amount of \$130.00 to cover the fee for expedited handling of this Petition is also attached. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment of fees in connection with this Petition to Deposit Account No. 23-2185. A duplicate copy of this paper is attached.

03/01/2004 STEUMEL1 00000045 10786098

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130.00 OP

Respectfully submitted

Michael Greenbaum Registration No. 28,419

Blank Rome LLP 600 New Hampshire Avenue, NW, Ste. 1100 Washington, DC 20037 February 26, 2004